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NOTICE OF ALLOWANCE AND FEE(S) DUE

70813 7590 09/10/2010 GOODWIN PROCTER LLP

901 NEW YORK AVENUE, N.W. WASHINGTON, DC 20001

EXAMINER

LE, BRIAN Q

ART UNIT PAPER NUMBER

2624

DATE MAILED: 09/10/2010

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 08/486,258 | 06/07/1995 | JOHN C. HARVEY | 5634,361 | 6481 |

TITLE OF INVENTION: SIGNAL PROCESSING APPARATUS AND METHODS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$0 | \$0 | \$1510 | 12/10/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correcte maintenance fee notificate | correspondence including below or directed oth tions. | g the Patent, advance or erwise in Block 1, by (a | rders and notification of mail of specifying a new corresponding to the | paintenance fees with condence address; | ll be and/or | mailed to the current (b) indicating a sepa | correspondence address as rate "FEE ADDRESS" for |
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| 70813 | 7590 09/10/ | /2010 | nave | | | <u> </u> | nicai an |
| GOODWIN PE 901 NEW YORE WASHINGTON | K AVENUE, N.W. | | I her State addr trans | eby certify that this is Postal Service we essed to the Mail mitted to the USPT | Fee(s th suf Stop O (57 | of Mailing or Transis) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the day | deposited with the United t class mail in an envelope above, or being facsimile te indicated below. |
| | | | | | | | (Depositor's name) |
| | | | | | | | (Signature) |
| | | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | | ATTO: | RNEY DOCKET NO. | CONFIRMATION NO. |
| 08/486,258 | 06/07/1995 | • | JOHN C. HARVEY | | | 5634.361 | 6481 |
| | | G APPARATUS AND M | | DDEM, DATE ISSUE | EEE | TOTAL PERSONNE | DATE DATE |
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| EXAM | INER | ART UNIT | CLASS-SUBCLASS | | | | |
| LE, BR | IAN Q | 2624 | 725-135000 | | | | |
| "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A PLEASE NOTE: Unl | ND RESIDENCE DATA less an assignee is identi h in 37 CFR 3.11. Comp | 'Indication form ed. Use of a Customer A TO BE PRINTED ON The field below, no assignee | (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be particularly from the PATENT (print or type data will appear on the particular as substitute for filing an a (B) RESIDENCE: (CITY) | ely, e firm (having as a gent) and the name neys or agents. If norinted. e) tent. If an assigne assignment. | memb s of up o nam | er a 2 | ocument has been filed for |
| Please check the appropriate. The following fee(s) and Issue Fee Publication Fee (N | iate assignee category or | 4b ermitted) | inted on the patent): D. Payment of Fee(s): (Please) A check is enclosed. Payment by credit care The Director is hereby | Individual Coose first reapply and | porati y prev | on or other private gro iously paid issue fee s | shown above) |
| 5. Change in Entity Stat | tus (from status indicated | l above) | overpayment, to Depos | it Account Number | | (enclose ar | n extra copy of this form). |
| | s SMALL ENTITY statu | | b. Applicant is no long | | | | |
| NOTE: The Issue Fee and interest as shown by the r | d Publication Fee (if requ records of the United Stat | nired) will not be accepted tes Patent and Trademark | d from anyone other than the Office. | e applicant; a regis | tered a | uttorney or agent; or th | e assignee or other party in |
| Authorized Signature | | | | Date | | | |
| Typed or printed name This collection of information is required by 37 CFR 1.311. The informa | | | | - | | | |
| This collection of inform an application. Confident submitting the completed his form and/or suggesting 1450. Alexandria, V | ation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bur irginia 22313-1450. DO | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR (| on is required to obtain or re 1.14. This collection is esti depending upon the indivi e Chief Information Office COMPLETED FORMS TO | etain a benefit by the mated to take 12 m dual case. Any cor r, U.S. Patent and T D THIS ADDRESS. | e publ inutes nment Traden SENI | ic which is to file (and to complete, includin s on the amount of tir ark Office, U.S. Depa D TO: Commissioner I | by the USPTO to process) g gathering, preparing, and ne you require to complete urtment of Commerce, P.O. for Patents, P.O. Box 1450. |

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| GOODWIN PRO | OCTER LLP | LE, BRIAN Q | | |
| 901 NEW YORK AVENUE, N.W. WASHINGTON, DC 20001 | | | ART UNIT PAPER NUMBE | |
| | | | 2624 | |
| | | | DATE MAILED: 09/10/2010 | |

Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

(application filed prior to June 8, 1995)

This patent application was filed prior to June 8, 1995, thus no Patent Term Extension or Adjustment applies.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | |
|---|--|--|----------|
| | 08/486,258 | HARVEY ET AL. | |
| Notice of Allowability | Examiner | Art Unit | |
| | BRIAN Q. LE | 2624 | |
| | BRIAN Q. LE | 2624 | |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate coming IGHTS. This application is | in this application. If not included munication will be mailed in due cour | se. THIS |
| 1. This communication is responsive to <u>09/01/2010</u> . | | | |
| 2. \boxtimes The allowed claim(s) is/are <u>239-246 (renumbered as 1-8)</u> . | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority do | e been received. e been received in Applica | tion No | from the |
| International Bureau (PCT Rule 17.2(a)). | | and manage approximation | |
| * Certified copies not received: | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | ile a reply complying with the require | ments |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | CE OF |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | |
| (a) \square including changes required by the Notice of Draftspers | on's Patent Drawing Revi | ew (PTO-948) attached | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | |
| (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date | s Amendment / Comment | or in the Office action of | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | k) of |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | the |
| | | | |
| Attachment(s) | _ | | |
| 1. Notice of References Cited (PTO-892) | | Informal Patent Application | |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948) | | Summary (PTO-413), b./Mail Date | |
| 3. Information Disclosure Statements (PTO/SB/08), | 7. ☐ Examiner | 's Amendment/Comment | |
| Paper No./Mail Date 4. | 8. 🛛 Examiner | 's Statement of Reasons for Allowan | ce |
| 2.5.5 g.55 | 9. 🔲 Other | · | |
| /Brian Q Le/ | | | |
| Primary Examiner, Art Unit 2624 | | | |
| | | | |

Application/Control Number: 08/486,258 Page 2

Art Unit: 2624

Administrative Requirement Notice

1. A double patenting administrative requirement is not being required by the examiner in the instant application since the examiner has independently conducted a double patenting analysis of the claims in the instant application.

Also, as indicated by the Applicant (Remarks page 7), the Applicant has amended the claims language sufficiently adequate to overcome double patenting issues has been raised by the Examiner during the interviews.

REASONS FOR ALLOWANCE

1. The following is an examiner's statement of reasons for allowance:

Regarding independent claim 239, the Applicants have agreed to invoke 35 U.S.C. 112, six paragraph by added the language "step for" to all limitations of the claim. Therefore, the prior art of records do not show the combination limitations of a method, which invoked 35 U.S.C. 112, sixth paragraph and as specifically claimed and defined in the specification.

Other claims are allowed because they depend on the independent claim.

2. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Art Unit: 2624

CONTACT INFORMATION

1. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to BRIAN Q. LE whose telephone number is (571)272-7424. The

examiner can normally be reached on 8:30 A.M - 5:30 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, William Korzuch can be reached on 571-272-7589. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian Q Le/

Primary Examiner, Art Unit 2624

September 7, 2010